

S/N 09/844477

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	MALANEY et al.	Examiner:	Unknown
Serial No.:	09/844477	Group Art Unit:	2681
Filed:	27 April 2001	Docket No.:	3961.46US01
Title:	TELECOMMUNICATIONS TRAFFIC REGULATOR		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 10 December 2001.

By: \_\_\_\_\_

Kate Ryan

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**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
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Minneapolis, MN 55402-0903  
(612)332.5300

Dated: 10 December 2001

By: 2-M 76

Brian H. Batzli  
Reg. No. 32,960

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<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 3961.46US01	Application Number: 09/844477
	Applicant: MALANEY et al.	
	Filing Date: 27 April 2001	Group Art Unit: 2681

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
		Kesidis, "Real-time VBR video conferencing," <u>ATM Network Performance</u> , Chapter 7, Kluwer Press (1996).				
		Dembo et al., "LDP for finite dimensional spaces," <u>Large Deviation Techniques and Applications</u> , Chapter 2, Jones and Bartlett (1992).				
		Dembo et al., <u>Large Deviation Techniques and Applications</u> , Section 4.5, pp. 130-143, Jones and Bartlett (1992).				
		Yaron et al., "Performance and stability of communication networks via robust exponential bounds," <u>IEEE/ACM Transactions on Networking</u> , Vol. 1, No. 3, pp. 372-385 (June 1993).				
		Starobinski et al., "Stochastically bounded burstiness for communication networks," <u>IEEE Transactions on Information Theory</u> , Vol. 46, No. 1, pp. 206-212 (January 2000).				
		Paxson et al., "Wide area traffic: the failure of poisson modeling," <u>IEEE/ACM Transactions on Networking</u> , Vol. 3, No. 3, pp. 226-244 (June 1995).				
		Nichols et al., "Definition of the differentiated services field (DS field) in the IPv4 and IPv6 headers," <u>IETF Documents</u> , RFC 2474 (December 1998).				
		Blake et al., "An architecture for differentiated services," <u>IETF Documents</u> , RFC 2475 (December 1998).				
		Heinanen et al., "Assured forwarding PHB group," <u>IETF Documents</u> , RFC 2597 (June 1999).				
		Jacobson et al., "An expedited forwarding PHB," <u>IETF Documents</u> , RFC 2598 (June 1999).				



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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	